|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Research Ethics Application | | | | | | | | | | |
|  | | | | | | | | | | |
| **Name:** | |  | | | **Year:** | |  | | | |
|  | |  | | |  | |  | | | |
| **Programme:** | |  | | |  | |  | | | |
|  | | | | | | | | | | |
| Research Project | | | | | | | | | | |
|  | | | | | | | | | | |
| **Research project title:** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| **Summary of research project and methods:**  *At least enough detail to accurately assess your methods and the ethical implications.* | | | | | | | | | | |
|  | | | | | | | | | | |
|  | |  | | | | | | | | |
| Ethics | | | | | | | | | | |
| *For guidance on research ethics please read the NMIT Code of Ethical Conduct for Research or talk to the Research and Knowledge Exchange Coordinator.  There are brief Instructions at the end of this document but this is not a comprehensive guide.* | | | | | | | | | | |
| **Ethics Category:** |  | Category A |  | Category B | | | |  | \*Exempt | |
| *\*if exempt you are not required to complete the following* | | | | | | | | | | |
|  | | | | | | | | | | |
| **Have you received prior ethical approval for this research project?:** | | | | | |  | Yes | |  | No |
|  | | | | | | | | | | |
| **Participant involvement:** | | | | | | | | | | |
| Provide details on all participant involvement.  How will participants be contacted and recruited? What will participants will be asked to do and how often? What information will they will be required to provide and how often? | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Informed consent:** | | | | | | | | | | |
| Detail how you will obtain informed consent from participants.  Attach a copy (below) of your informed consent form and disclaimer.  Have you clearly outlined participant’s rights to withdraw from the study? | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Procedures to share information with participants:** | | | | | | | | | | |
| How will you disseminate your research findings to participants? | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Anonymity and confidentiality:** | | | | | | | | | | |
| How will you protect participant's identity and personal information?   Will identifying data be de-linked? Provide details on data storage and security, return, disposal or destruction of identifying data. | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Study Limitations:**  *Outline the limitations of your research methodology e.g. small sample size, researcher and/or participant bias, methodology employed due to time constraints* | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Supervision:** | | | | | | | | | | |
| If any of the research will be performed by a student or assistant, detail how you will ensure they are appropriately supervised. | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Potential harm:** | | | | | | | | | | |
| Outline any potential harm to either participants, researchers or NMIT and provide details on how this will be managed and/or mitigated. | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Deception:** | | | | | | | | | | |
| Detail any deception, the need for this, how this will be managed and how participants will be debriefed. | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Vulnerable participants:** | | | | | | | | | | |
| Will your research involved children or vulnerable participants?  Provide details on how informed consent will be obtained. | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Cultural sensitivity:** | | | | | | | | | | |
| Researchers must ensure that their actions are appropriately sensitive to participants' cultural and social frameworks. Detail any issues relating to Māori and other cultures' ethical values.  Has there been a consultation process with the community concerned? | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Conflict of interest:** | | | | | | | | | | |
| Detail any potential conflicts of interest or roles i.e. students as participants. | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |
| **Other ethical or legal issues:** | | | | | | | | | | |
| Are there any other ethical or legal issues relevant to this research?  List any issues you would like to discuss with the NMIT Research and Ethics Committee. | | | | | | | | | | |
|  | | | | | | | | | | |
|  | | | | | | | | | | |

|  |
| --- |
| **Brief ethics category guidelines:**  For guidance on research ethics please read the [**NMIT Code of Ethical Conduct for Research**](https://nmitnet.nmit.ac.nz/business/qms/Pub/NMIT%20Code%20of%20Ethical%20Conduct%20for%20Research.docx) or talk to the Research and Knowledge Exchange Coordinator. Instructions below are not a comprehensive guide, but briefly:    **Category A:** ethical approval is needed if your research project involves any of the following:  Collecting identifiable information, except where information is needed for a limited time for practical purposes but which is unlinked from research data and destroyed once details are no longer needed;   * Taking or handling any form of tissue or fluid from research participants; * Any form of physical or psychological stress to participants; * The safety of participants or researchers is put at any risk; * Administration of food, fluid or drug to research participants; * A potential conflict of interest; * Participation of minors or vulnerable individuals; * Any form of deception. * Projects involving animals (this will be forwarded onto the NMIT Animal ethics committee)     **Category B:** approval is needed for all other projects if working with human participants.    **Exempt:** Projects do not require ethical approval if involving existing, publically available data.    The ethical responsibility lies with the researcher and so **if you are in ANY doubt**, please complete the ethics section. |